

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11 of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return

(other than OPCs and Small Companies)

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

U93000TN2012PTC086696

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAGCP7111A

(ii) (a) Name of the company

SPARK PWM PRIVATE LIMITED

(b) Registered office address

No. 1, 3rd Floor, First Crescent Park Road, Gandhi Nagar,
Adyar NA
Chennai
Chennai
Tamil Nadu
600033

(c) *e-mail ID of the company

SE*****AL.IN

(d) *Telephone number with STD code

04*****00

(e) Website

(iii) Date of Incorporation

11/07/2012

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Private Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

☒ Yes

☐ No

(vi) *Whether shares listed on recognized Stock Exchange(s)

☐ Yes

☒ No

(vii) *Financial year From date 01/04/2023 (DD/MM/YYYY) To date 31/03/2024 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held ☒ Yes ☐ No

(a) If yes, date of AGM 24/09/2024

(b) Due date of AGM 30/09/2024

(c) Whether any extension for AGM granted ☐ Yes ☒ No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities 2

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K7	Financial Advisory, brokerage and Consultancy Services	93
2	K	Financial and insurance Service	K6	Fund Management Services	7

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given 1

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	SPARK CAPITAL ADVISORS (INT)	U67190TN1998PTC039818	Holding	56.19

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	30,000,000	15,196,393	15,196,393	15,196,393
Total amount of equity shares (in Rupees)	300,000,000	151,963,930	151,963,930	151,963,930

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
EQUITY				

Number of equity shares	30,000,000	15,196,393	15,196,393	15,196,393
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	300,000,000	151,963,930	151,963,930	151,963,930

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
Equity shares	Physical	DEMAT	Total			
At the beginning of the year	9,318,180	0	9318180	93,181,800	93,181,800	
Increase during the year	4,980,769	897,444	5878213	108,589,820	108,589,820	399,718,484
i. Public Issues	4,980,769	0	4980769	49,807,690	49,807,690	338,692,292
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	897,444	897444	8,974,440	8,974,440	61,026,192
v. ESOPs	0	0	0	0	0	

vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify				49,807,690	49,807,690	0
Physical shares dematerialised during the year						
Decrease during the year	0	0	0	49,807,690	49,807,690	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify				49,807,690	49,807,690	
Physical shares dematerialised during the year						
At the end of the year	14,298,949	897,444	15196393	151,963,930	151,963,930	
Preference shares						
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify				0	0	
None						
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify				0	0	
None						
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

☐ Nil

[Details being provided in a CD/Digital Media]

☐ Yes

☒ No

☐ Not Applicable

Separate sheet attached for details of transfers

☐ Yes

☒ No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		22/09/2023	
Date of registration of transfer (Date Month Year)		08/08/2023	
Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	100	Amount per Share/ Debenture/Unit (in Rs.)	10
Ledger Folio of Transferor	010		
Transferor's Name			Y RAMA RAO JOINTLY WITH SC
	Surname	middle name	first name
Ledger Folio of Transferee	004		

Transferee's Name	<input type="text"/>	<input type="text"/>	Spark Capital Advisors India Pv
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	08/08/2023
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Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	142,000	Amount per Share/ Debenture/Unit (in Rs.)	10
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Ledger Folio of Transferor	004
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Transferor's Name	<input type="text"/>	<input type="text"/>	Spark Capital Advisors India Pv
	Surname	middle name	first name

Ledger Folio of Transferee	013
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Transferee's Name	<input type="text"/>	<input type="text"/>	R KAPIL
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name			
	Surname	middle name	first name

(iv) *Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

461,416,548

(ii) Net worth of the Company

309,089,598

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage

1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	8,539,070	56.19	0	
10.	Others	0	0	0	
	Total	8,539,070	56.19	0	0

Total number of shareholders (promoters)

1

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	2,458,904	16.18	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				

	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	4,198,419	27.63	0	
10.	Others	0	0	0	
	Total	6,657,323	43.81	0	0

Total number of shareholders (other than promoters)

19

**Total number of shareholders (Promoters+Public/
Other than promoters)**

20

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	2	1
Members (other than promoters)	2	19
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	0	1	0	1	0	0

B. Non-Promoter	2	1	2	1	10.77	0
(i) Non-Independent	2	1	2	1	10.77	0
(ii) Independent	0	0	0	0	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	2	2	2	2	10.77	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

5

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
YECHURI RAMA RAO	00754305	Director	0	
SURESH BABU VALLI	00754506	Director	0	
PONGALIUR MYLSAM	05210150	Whole-time director	796,559	
RAJESH KUMAR PAR	02009165	Whole-time director	840,852	
NARASIMHAN MEENA	ARFPM3058A	Company Secretary	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

1

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
NARASIMHAN MEE	ARFPM3058A	Company Secretary	10/01/2024	Appointed as Company Secretary

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

5

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
EXTRA-ORDINARY GENERAL MEETING	02/05/2023	4	2	94.95
ANNUAL GENERAL MEETING	22/09/2023	4	2	88
EXTRA-ORDINARY GENERAL MEETING	19/10/2023	4	2	88
EXTRA-ORDINARY GENERAL MEETING	20/02/2024	5	3	88.55
EXTRA-ORDINARY GENERAL MEETING	27/03/2024	20	3	83.33

B. BOARD MEETINGS

*Number of meetings held

16

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	26/04/2023	4	2	50
2	17/05/2023	4	2	50
3	06/07/2023	4	2	50
4	28/07/2023	4	2	50
5	08/08/2023	4	2	50
6	16/10/2023	4	2	50
7	24/10/2023	4	2	50
8	01/12/2023	4	2	50
9	14/12/2023	4	2	50
10	27/12/2023	4	3	75
11	03/01/2024	4	2	50
12	10/01/2024	4	3	75

C. COMMITTEE MEETINGS

Number of meetings held

0

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1					

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	24/09/2024
								(Y/N/NA)
1	YECHURI RAJESH KUMAR	16	16	100	0	0	0	Yes
2	SURESH BAE	16	16	100	0	0	0	No
3	PONGALIUR MYL	16	1	6.25	0	0	0	No
4	RAJESH KUMAR P	16	1	6.25	0	0	0	No

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	PONGALIUR MYLS	WHOLE-TIME D	16,300,000	0	0	0	16,300,000
2	RAJESH KUMAR P	WHOLE-TIME D	9,000,000	0	0	0	9,000,000
	Total		25,300,000	0	0	0	25,300,000

Number of CEO, CFO and Company secretary whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	NARASIMHAN MEI	Company Secre	245,328	0	0	0	245,328
	Total		245,328	0	0	0	245,328

Number of other directors whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
	Total						

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ☒ Yes ☐ No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

☒ Yes ☐ No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

RAGHUNATH RAVI

Whether associate or fellow

☐ Associate ☒ Fellow

Certificate of practice number

14685

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.
- (c) The company has not, since the date of the closure of the last financial year with reference to which the last return was submitted or in the case of a first return since the date of the incorporation of the company, issued any invitation to the public to subscribe for any securities of the company.
- (d) Where the annual return discloses the fact that the number of members, (except in case of a one person company), of the company exceeds two hundred, the excess consists wholly of persons who under second proviso to clause (ii) of sub-section (68) of section 2 of the Act are not to be included in reckoning the number of two hundred.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

YECHURI
RAMA RAO
Digitally signed by
YECHURI RAMA
RAO
Date: 2024.11.19
18:56:44 +05'30'

DIN of the director

To be digitally signed by

RAGHUN
ATH RAVI
Digitally signed by
RAGHUNATH RAO
Date: 2024.11.19
17:21:48 +05'30'

- ☐ Company Secretary
- ☒ Company secretary in practice

Membership number Certificate of practice number **Attachments**

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

List of attachments

Attach	SPWM LIST OF SHAREHOLDERS.pdf SPWM - Particulars of transfers.pdf SPWM- Particulars of Board Meetings held SPWM Optional attachment for Form MGT SPWM- Form No - MGT 8.pdf
Attach	
Attach	
Attach	

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

LIST OF EQUITY SHAREHOLDERS OF M/S. SPARK PWM PRIVATE LIMITED AS ON MARCH 31, 2024

S. No	NAME OF THE SHAREHOLDER	LF. No. / Client ID	ADDRESS	No. OF SHARES HELD	AMOUNT PER SHARE (RS.)	% OF SHARE HOLDING
1	Spark Capital Advisors (India) Private Limited	12090100/00000597	No. 1, 3rd Floor, First Crescent Park Road, Gandhi Nagar, Adyar, Chennai – 600020	85,39,070	10	56.19
2	R Kapil	IN301151/12105256	B6, A Block Jains Saagarika, 67/22, Satya Dev Avenue M R C Nagar, Raja Annamalaipuram Chennai 600028	2,44,564	10	1.61
3	Rajesh K Parikh	12090100/00001645	C/206, OBEROI SPRINGS, Off New Link Road, Opposite CITI MALL Andheri West, AZAD Nagar, Mumbai – 400053	8,40,852	10	5.53
4	Venkatesh Mysamy Pongaliur	12090100/00000316	#359, Rainbow Drive, Sarjapur Road, Near Wipro office, Doddakannalli, Carmelaram, Bangalore – 560035	7,96,559	10	5.24
5	Spark Financial Holdings Private Limited	12090100/00002993	No. 1, 3rd Floor, First Crescent Park Road, Gandhi Nagar, Adyar, Chennai – 600020	38,77,904	10	25.52
6	Udaybhanu Muddhana Shetty and Kalpana Rao Shetty	12090100/00005903	11-A Trishul Apartment, Kane Road Nwear Mount Mary Church, Bandra west, Mumbai 400050	64,103	10	0.42
7	Sudarshan Somani	12090100/00006890	86/A Shri Niketan Netaji Subash Road, Marine Drive, Mumbai – 400002	64,103	10	0.42
8	Unique Pharmaceutical Laboratories Limited	IN305099/30034270	33, 3rd Floor Maker Chamber VI 22 Jamnalal Bajaj Marg Nariman Point, Mumbai-400021	64,103	10	0.43
9	Asteroids Trading and Investments Pvt Ltd	12090100/00007039	414, Shah Nahar Worli Industrial Estate, B Wing, Dr E Moses Road, Worli, Mumbai-400018	64,103	10	0.42
10	Prashant Jain	12032300/15186642	19th & 20th Floor, 33 South, Plot no 33 Dr Gopalrao Deshmukh Marg, Opp Sterling	64,103	10	0.42



Spark PWM Private Limited

(Formerly Spark Family Office and Investment Advisors (India) Private Limited)

CIN:U93000TN2012PTC086696 | Email: pwm.compliance@sparkcapital.in | Tel No.: 022 6291 6700

Registered Office: No. 1, 3rd Floor, First Crescent Park Road, Gandhi Nagar, Adyar, Chennai – 600020

Tel: +91 44 6925 0000 | info@sparkcapital.in | www.sparkcapital.in

			Apartments, Cumballa Hill, Mumbai 400026			
11	Milan Manharkant Ganatra	12012200/ 00138461	C-901 Raheja Excelsior, 63, Tardeo Road, Opp Film Center, Mumbai – 400034	64,103	10	0.42
12	Dhankalash Distributors Private Limited	12090100/ 00002406	Unit No.710, 7 th Floor, Todi Mansion, 1, Lu Shun Sarani, Kolkata- 700073	64,103	10	0.42
13	Uma Shankar Bhartia	12090100/ 00005557	17 friends Colony west New Delhi Srinivasapuri South Delhi 110065	64,103	10	0.42
14	CULTURE CAROUSEL LLP	IN304367/ 10003738	25, Maker Chambers III, Nariman Point, Mumbai, Maharashtra, 400021	64,103	10	0.42
15	Umeed Zareer Kothavala and Naazneen Umeed Kothavala	12090100/ 00006624	Umkaz, Lane "E", North Main road, Koregaon Park, Pune city, Pune 411001	64,103	10	0.42
16	SIDDHANT SANJAY SHAH	12090100/ 00005806	31,Jai Bhavani Soc, 3 RR Thakkar Marg, Off Ridge Road Malabar Hill, Mumbai 400006	64,103	10	0.42
17	Zeenath Ahmed	12090100/ 00012701	24 KHONITHANA MOSQUE ROAD COWL BAZAR BELLARY BEVINAHALLI GANDHINAGAR Bellary 583103	42,736	10	0.28
18	Syed Asad Ahmed	12090100/ 00010833	MINE OWNER ZEENATH HOUSE COWL BAZAR BELLARY 583102	42,736	10	0.28
19	Syed Khalid Ahmed	12090100/ 00012714	MINE OWNER ZEENATH HOUSE COWL BAZAR BELLARY 583102	42,736	10	0.28
20	Genesis Exports Private Limited	12090100/ 00002478	ECO CENTRE, 8TH FLOOR PREMISES NO. 803 & 804 EM BLOCK, EM -4, SECTOR -V, KOLKATA Kolkata WB 700091 IN	64,103	10	0.42
TOTAL				1,51,96,393		100.00

For Spark PWM Private Limited

N. Meenakshi
Meenakshi N
Company Secretary



Spark PWM Private Limited

(Formerly Spark Family Office and Investment Advisors (India) Private Limited)

CIN: U93000TN2012PTC086696 | Email: pwm.compliance@sparkcapital.in | Tel No.: 022 6291 6700
Registered Office: No. 1, 3rd Floor, First Crescent Park Road, Gandhi Nagar, Adyar, Chennai-600020
Tel: +91 44 6925 0000 | info@sparkcapital.in | www.sparkcapital.in

PARTICULARS OF SHARE TRANSFERS OF M/S. SPARK PWM PRIVATE LIMITED DURING FINANCIAL YEAR 2023-24

Date of registration of transfer	Type of transfer	No. of shares transferred	Amount per share	Ledger Folio No. of Transferor	Transferor's name	Ledger Folio No. of Transferee	Transferee's name
08/08/2023	Equity	100	10	L.F: 010	Y Rama Rao jointly with Spark Capital Advisors (India) Private Limited	LF: 004	Spark Capital Advisors (India) Private Limited
08/08/2023	Equity	1,42,000	10	LF: 004	Spark Capital Advisors (India) Private Limited	L.F: 013	R Kapil

For Spark PWM Private Limited

N. Meenakshi

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Company Secretary



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Annexure to Form MGT-7

The number of Board meetings during the year was 16. However, the form permits entry of 12 items only. This list contains the details of all the 16 Board meetings held during the year.

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

BOARD MEETINGS

S.No	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	26/04/2023	4	2	50
2	17/05/2023	4	2	50
3	06/07/2023	4	2	50
4	28/07/2023	4	2	50
5	08/08/2023	4	2	50
6	16/10/2023	4	2	50
7	24/10/2023	4	2	50
8	01/12/2023	4	2	50
9	14/12/2023	4	2	50
10	27/12/2023	4	3	75
11	03/01/2024	4	2	50
12	10/01/2024	4	3	75
13	30/01/2024	4	2	50
14	03/02/2024	4	2	50
15	19/02/2024	4	2	50
16	18/03/2024	4	2	50

For Spark PWM Private Limited


Meenakshi N
Company Secretary



Spark PWM Private Limited
(Formerly Spark Family Office and Investment Advisors (India) Private Limited)

CIN:U93000TN2012PTC086896 | Email: pwm.compliance@sparkcapital.in | Tel No.: 022 6291 6700
Registered Office: No. 1, 3rd Floor, First Crescent Park Road, Gandhi Nagar, Adyar, Chennai - 600020
Tel: +91 44 6925 0000 | info@sparkcapital.in | www.sparkcapital.in

OPTIONAL ATTACHMENT

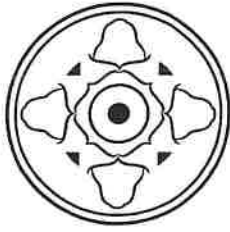
UDIN FOR FORM MGT 7 – SIGNED ON NOVEMBER 19, 2024 – REG. ANNUAL
GENERAL MEETING HELD ON SEPTEMBER 24, 2024

FOR

SPARK PWM PRIVATE LIMITED

(CIN U93000TN2012PTC086696)

F003584F002338796



KSR&CO
COMPANY SECRETARIES LLP
Rewarding Ideas | Raring Steps

PRACTISING COMPANY SECRETARIES & TRADE MARK AGENTS

[Registered with Limited Liability Under The LLP Act 2008 – Regn. No. AAB-3259]

Form No. MGT-8

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

We have examined the registers, records and books and papers of **SPARK PWM PRIVATE LIMITED CIN U93000TN2012PTC086696** ("The Company") as required to be maintained under the Companies Act, 2013 (the Act) and the Rules made thereunder for the Period ended on MARCH 31, 2024. In our opinion and to the best of our information and according to the examinations carried out by us and explanations furnished to us by The Company, its officers and agents, we certify that:

1. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
2. During the aforesaid financial year, The Company has complied with provisions of the Act & Rules made thereunder in respect of:
 1. Its status under the Act;
 2. Maintenance of registers/records & making entries therein within the time prescribed therefor;
 3. Filing of forms and returns as regards information stated in the Annual Return, where required with the Registrar of Companies, within the prescribed time. **The Company had no occasion to file forms or returns with the Regional Director, Tribunal, Court or other authorities under the Act**
 4. Calling/ convening/ holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the company on due dates as stated in the Annual Return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions ~~and resolutions passed by postal ballot, if any,~~ have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed;
 5. Closure of Register of Members / Security holders, as the case may be.

NOT APPLICABLE TO THE COMPANY



CENTRAL OFFICE :

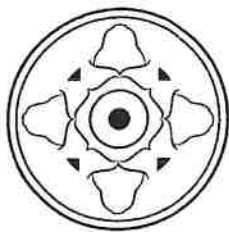
Indus Chambers,
No. 101, Govt. Arts College Road,
Coimbatore - 641 018.
Phone : (0422) 2302868, 2305676, 4982868, 4952868
Telefax : (0422) 2302867 E-mail : info@ksrandco.in

BRANCH OFFICE :

Flat No. 1, 26/27, Venkatesham Flat,
Gopal Street, T. Nagar,
Chennai - 600 017.
Phone : (044) 24337620
E-mail : chennai@ksrandco.in

BRANCH OFFICE :

S-311, 3rd Floor, South Block,
Manipal Centre, No. 47, Dickenson Road,
Bangalore - 560 042.
Phone : (080) 25323232
E-mail : bangalore@ksrandco.in



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Continuation Sheet

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6. Advances/loans to its directors and/or persons or firms or companies referred in section 185 of the Act;

NOT APPLICABLE TO THE COMPANY FOR THE YEAR

7. Contracts/arrangements with related parties as specified in section 188 of the Act;
8. Issue or allotment or transfer or transmission or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates in all instances;
9. Keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act

NOT APPLICABLE TO THE COMPANY FOR THE YEAR

10. Declaration/ payment of dividend; transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act;

NOT APPLICABLE TO THE COMPANY FOR THE YEAR

11. Signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub – sections (3), (4) and (5) thereof;
12. Constitution/ appointment/ re-appointments/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them;
13. Appointment/ reappointment/ filling up casual vacancies of auditors as per the provisions of section 139 of the Act;
14. Approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act;

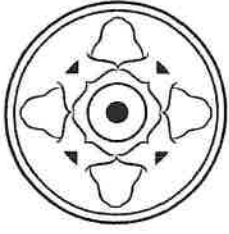
THERE WAS NO OCCASION TO OBTAIN SUCH APPROVALS DURING THE YEAR

15. Acceptance/ renewal/ repayment of deposits

NOT APPLICABLE TO THE COMPANY FOR THE YEAR



Handwritten signature/initials.



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Continuation Sheet

16. Borrowings from its directors, members, public financial institutions, banks and others and creation/ modification/ satisfaction of charges in that respect, wherever applicable;
17. Loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act
18. Alteration of the provisions of the Memorandum and/or Articles of Association of the Company;

FOR KSR & CO COMPANY SECRETARIES LLP


RAGHUNATH RAVI
PARTNER

C.P. No 14685
PLACE: CHENNAI
DATE:
UDIN Number F003584F002340798

DT: November 19 2024